We introduce return-oriented programming, a technique by which an attacker can induce arbitrary behavior in a program whose control flow he has diverted, without injecting any code. A return-oriented program chains together short instruction sequences already present in a program’s address space, each of which ends in a “return” instruction.

Return-oriented programming defeats the W⊕X protections recently deployed by Microsoft, Intel, and AMD; in this context, it can be seen as a generalization of traditional return-into-libc attacks. But the threat is more general. Return-oriented programming is readily exploitable on multiple architectures and systems. It also bypasses an entire category of security measures—those that seek to prevent malicious computation by preventing the execution of malicious code.

Without further extendibility, the applicability of return-oriented programming, we construct a Turing-complete set of building blocks called gadgets using the standard C libraries of two very different architectures: Linux/x86 and Solaris/SPARC. To demonstrate the power of return-oriented programming, we present a high-level, general-purpose language for describing return-oriented exploits and a compiler that translates it to gadgets.

1. INTRODUCTION
The conundrum of malicious code is one that has long vexed the security community. Since we cannot accurately predict whether a particular execution will be benign or not, most work over the past two decades has focused instead on preventing the introduction and execution of new malicious code. Roughly speaking, most of
activity falls into two categories: efforts attempting to guarantee the integrity of control flow in existing programs (e.g., type-safe languages, stack cookies, XFI [Erlingsson et al. 2006]) and efforts attempting to isolate “bad” code that has been introduced into the system (e.g., W⊕X, memory tainting, virus scanners, and most of “trusted computing”).

The W⊕X protection model typifies this latter class of efforts. Under this regime, memory is either marked as writable or executable, but never both. Thus, an adversary cannot inject data into a process and then execute it simply by diverting control flow to that memory, as the execution of the data will cause a processor exception. While the security community understood that W⊕X is not foolproof [Solar Designer 1997; McDonald 1999; Krahmer 2005], it was thought to be a sufficiently strong mitigation that both Intel and AMD modified their processor architectures to accommodate it, and operating systems as varied as Windows Vista, Mac OS X, Linux, and OpenBSD now support it.

In this article, we present a new form of attack, return-oriented programming, that categorically evades W⊕X protections. Attacks using our technique inject no code, yet can induce arbitrary behavior in the targeted system. Instead, our technique aggregates malicious computation by linking together short code snippets already present in the program’s address space. Each snippet ends in a ret instruction, which allows an attacker who controls the stack to chain them together. Because the executed code is stored in memory marked executable (and hence “safe”), the W⊕X technique will not prevent it from running.

The organizational unit of a return-oriented attack is the gadget. Each gadget is an arrangement of words on the stack, both pointers to instruction sequences and immediate data words, that accomplishes some well-defined task when invoked. One gadget might perform a load operation, another an xor, and another a conditional branch. Once he has put together a Turing-complete collection of gadgets, an attacker can synthesize any malicious behavior he wishes.

We show how to build such gadgets using short instruction sequences we find in target binaries on both the x86 and SPARC architectures, specifically, the Standard C Library on Linux and Solaris, respectively. From our experience on two radically different platforms, we conjecture that any sufficiently large body of executable code on any architecture and operating system will feature sequences that allow the construction of similar gadgets. (As we will later discuss, subsequent work has buttressed our conjecture.)

Our article makes four major contributions.

(1) We describe efficient algorithms for analyzing a target library to recover the instruction sequences that can be used in our attack. In our x86 variant, we describe techniques to discover “unintended” sequences by jumping in the middle of other instructions.

(2) Using sequences recovered from target libraries on x86 and SPARC, we describe gadgets that allow arbitrary computation, introducing many techniques that lay the foundation for return-oriented programming.

(3) We discuss common aspects of gadget construction and return-oriented attack structuring and injection across two popular architectures.

(4) We demonstrate the applicability and power of our techniques with a generic gadget exploit language and compiler that simplify the creation of general-purpose return-oriented programs.

We challenge the flawed, but pervasive, assumption that preventing the introduction of malicious code is sufficient to prevent the introduction of malicious
computation. By means of return-oriented programming, an attacker who has subverted the control flow of a program can induce arbitrary computation without injecting any code. Because it applies to two very different architectures and can be abstracted and automated into a general programming framework, we argue that return-oriented programming is a usable, powerful (Turing-complete), generally applicable threat to systems assumed to be protected by \( W @X \) and other code-injection defenses.

Previous publication. Two extended abstracts by this article’s authors introduced return-oriented programming on the x86 [Shacham 2007] and SPARC [Buchanan et al. 2008]. The present full article (with its online appendix) supersedes both these previous publications and is intended to be the definitive statement on return-oriented programming.

Return-oriented programming, 2007–2011. Much work has followed up the conference publications that make up the present article. Besides the x86 and SPARC architectures, return-oriented programming has been extended to the Atmel AVR [Francillon and Castelluccia 2008], PowerPC [Lidner 2009], Z80 [Checkoway et al. 2009], and ARM [Kornau 2010] architectures. Gadget creation has been partly automated by Roemer [2009], Hund et al. [2009], Dullien et al. [2010], and Schwartz et al. [2011]. Return-oriented programming has been used to attack platforms where \( W @X \) cannot be disabled including the Sequoia AVC Advantage voting machine [Checkoway et al. 2009] and the iPhone [Iozzo and Miller 2009; Naraine 2010].

Defenses against return-oriented programming have been proposed that depend on its use of return instructions [Chen et al. 2009; Davi et al. 2009, 2011; Francillon et al. 2009; Li et al. 2010]. These defenses are defeatable by a variant of return-oriented programming that uses no return instructions [Checkoway et al. 2010]. More-comprehensive defenses remain unbroken [Onarlioglu et al. 2010], but approach control-flow integrity [Erlingsson et al. 2006] in complexity.

In an important development, return-oriented programming has been embraced by the industrial security community. Much work on return-oriented programming is being conducted outside of traditional academic venues (e.g., [Dai Zovi 2010; Iozzo et al. 2010; Le 2010]), and return-oriented support has been incorporated into commercial tools, such as Immunity Debugger [Heelan 2010].

2. BACKGROUND: ATTACKS AND DEFENSES

With return-oriented programming, an attacker who has diverted a program’s control flow can induce it to undertake arbitrary behavior without introducing any new code. This makes return-oriented programming a threat to any defense that works by ruling out the injection of malicious code. A notable example of this class of defense is \( W @X \), widely deployed on desktop operating systems to make memory errors more difficult to exploit.

In this section, we focus on the implications of return-oriented programming on \( W @X \) as the natural next step in a series of attacks and defenses, whose history we recall here. In particular, return-oriented programming can be seen as a generalization and refinement of return-into-libc attacks, in which the attacker’s power is increased at the same time that the assumptions made about the exploited environment are reduced.

Consider an attacker who has discovered a vulnerability in some program and wishes to exploit it. Exploitation, in this context, means subverting the program’s
control flow so that it performs attacker-directed actions with its credentials. The most familiar such vulnerability class is the stack buffer overflow [Aleph One 1996], though many other classes of have been considered, such as buffer overflows on the heap [Anonymous 2001; Kaempf 2001; Solar Designer 2000], integer overflows [blexim 2002; Horovitz 2002; Zalewski 2001], and format string vulnerabilities [gera and riq 2001; Scut/team teso 2001].

To achieve his goal, the attacker must (1) subvert the program's control flow from its normal course, and (2) redirect the program's execution. In traditional stack-smashing attacks, an attacker completes the first task by overwriting a return address on the stack, so that it points to code of his choosing, rather than to the function that made the call. (Though even in this case other techniques can be used, such as frame-pointer overwriting [klog 1999].) He completes the second task by injecting code into the process image; he points the modified return address on the stack at this code. Appropriate code to inject is called shellcode, whether or not it spawns a shell.

In this article, we restrict our attention to the attacker's second task: redirecting program execution. There are many security measures designed to mitigate against the first task, each aimed at a specific class of attacks, such as stack smashing or heap overflows, and we briefly consider their implications for return-oriented programming in Section 2.2.

An important defender's gambit focused on making the attacker's second task harder. The earliest iterations of such a defense, notably Solar Designer's StackPatch [Solar Designer 1998], modified the memory layout of executables to make the stack nonexecutable. Since the shellcode was typically injected onto the stack in stack-smashing attacks, this was already useful. A more complete defense, W⊕X, ensures that no memory location in a process image is marked both writable (W) and executable (X). With W⊕X, there is no location in memory into which the attacker can inject code to execute. The PaX project has developed a patch for Linux-implementing W⊕X [PaX Team 2003b]. Similar protections are included in recent versions of OpenBSD. AMD and Intel recently added a per-page execute disable (NX in AMD parlance, XD in Intel parlance) bit to their processors to ease W⊕X implementation, and Microsoft Windows (as of XP SP2) implements W⊕X, which Microsoft called DEP, on processors with NX/XD support.

The attackers responded to code injection defenses by reusing code already present in the process image they were attacking. (It was Solar Designer who first suggested this approach [Solar Designer 1997].) The standard C library, libc, was the usual target, since it is loaded in nearly every Unix program and contains routines of the sort that are useful for an attacker (e.g., wrappers for system calls). Such attacks are therefore known as return-into-libc attacks. However, in principle any available code, either from the program's text segment or from a library to which it links, could be used.

By carefully arranging values on the stack, an attacker can cause an arbitrary function to be invoked with arbitrary arguments. In fact, he can cause a series of functions to be invoked, one after the other [Nergal 2001].

Why, then, did W⊕X see widespread deployment despite the existence of return-into-libc attacks? Perhaps the perception was that it would raise the bar for successful exploitation; or perhaps because only straight-line return into-libc exploits had been demonstrated; or perhaps because it was thought possible to weaken the attacker by removing certain functions from libc. As we show, this perception is false: Return-oriented programming generalizes return-into-libc to allow arbitrary (Turing complete) computation without calling any functions.
2.1. What Is Not Our Contribution

Since the publication of the original paper on return-oriented programming, many researchers have begun referring to all exploits that reuse existing program code, including traditional return-into-libc attacks, as return-oriented programming.1 This makes some sense: these exploits all leverage control of the stack to run existing code sequences of the attacker’s choosing, usually chained together with the “return” instruction. But if return-into-libc attacks and the like are return-oriented programming, then it no longer correct to say that we introduced return-oriented programming.

Clearly, exploitation that leverages control of the stack to execute existing code rather than injecting new code dates back to 1997 at least, with Solar Designer’s work [Solar Designer 1997]. Chaining several libc function calls together was demonstrated for SPARC by McDonald [1999], by Newsham [2000], and then by Nergal [2001] for the x86. Newsham [1997] and, later, dark spyrit [dark spyrit 1999] pioneered the use of short instruction sequences in addition to libc functions. Krahmer [2005] was the first to use short instruction sequences exclusively. Gera [Richarte 2000, 2001] even showed how to use such ideas to obtain unconditional loops. As McDonald [1999] showed, these techniques are usually sufficient to exploit W⊕X platforms: a first stage, return-into-libc style, loads and runs new machine code in an executable segment by means of a call to mprotect (on Unix) or VirtualProtect (on Windows).

On platforms that allow the protection associated with memory regions to be changed in this way, McDonald’s technique is a natural choice for the attacker. Turing completeness in the return-oriented first stage is not necessary; the machine code run in the second stage is, of course, Turing complete. Our contribution is showing that Turing completeness can be achieved without code injection. This has theoretical interest as an argument against defenses such as W⊕X, but it has practical interest only on those platforms where memory protections are immutable, such as the Sequoia AVC Advantage voting machine [Checkoway et al. 2009] and the iPhone [Miller and Iozzo 2009]. On less esoteric platforms, Turing completeness without code injection is irrelevant as a practical matter, and if “return-oriented programming” (meaning code reuse) is employed in exploits for these platforms, it is Solar Designer, Newsham, McDonald, Gera, Nergal, and Krahmer who should get the credit, not we.

2.2. Mitigations

We briefly consider some proposed mitigations against memory error exploitation and their effects on return-oriented programming. Traditional stack-smashing protection on the x86, in a line of work starting with StackGuard [Cowan et al. 1998] and including ProPolice [Etoh and Yoda 2001] and the Microsoft C compiler’s “/GS” flag, provides a defense orthogonal to W⊕X: That is preventing subversion of a program’s control flow with typical buffer overflows on the stack. Although these defenses do limit many buffer overflow exploits, there are known circumvention methods [Bulba and Kilišr 2000]. And, as we note in Section 4.2, stack smashing is not necessary for return-oriented attacks.

Address-space layout randomization (ASLR) [PaX Team 2003a] is another relevant and widely deployed defense. When an attack requires knowledge of addresses in the target program image, it is defeated by ASLR—at least barring brute force search [Shacham et al. 2004], partial address overwrites [Durden 2002], and information disclosure [Blazakis 2010]. This applies to code-injection and code-reuse

1Alex Sotirov, in personal communication, August 2009.
attacks equally well; assuming effective ASLR, the presence or absence of W⊕X is irrelevant. (For return-oriented exploits, it often suffices to draw on a single library as an instruction corpus. In ASLR as deployed, only the basepoint of each library is randomized, meaning that return-oriented exploits require no more address information to pull off than traditional return-into-libc exploits.)

The SPARC traps into the kernel when a register window must be restored from the stack, giving an opportunity for SPARC-specific defensive measures. A notable example is StackGhost [Frantzen and Shuey 2001], which implements extra kernel-level stack return address checks on OpenBSD 2.8 for SPARC.

Finally, control-flow integrity systems [Abadi et al. 2009; Erlingsson et al. 2006] can provably prevent a program’s control flow from being hijacked at a runtime overhead that is likely acceptable for many applications. One way of interpreting the results in this article is that mitigations like W⊕X that are not accompanied by security proofs can provide less security than their designers intended. We believe that control-flow integrity and other principled defenses ought to see wider adoption.

3. THE X86 AND SPARC ARCHITECTURES

We present implementations of return-oriented exploits on two extremely different architectures: the Intel x86 and the Sun SPARC architectures. The two architectures differ in ways that are fundamental to the particulars of return-oriented attack implementation. One has variable-length instructions that need not be aligned in memory, and the other requires fixed-length aligned instructions. One has many diverse, complex instructions, while the other has a concise set of simple instructions. One features very few general-purpose registers, while the other has so many general-purpose registers that it even uses them to store function return addresses and stack and frame pointers.

We present the relevant features of each architecture, both to highlight their differences and to assist in the understanding of the mechanics of each exploit implementation.

3.1. The x86 Architecture

Intel’s x86 or IA-32 architecture is a descendant of the instruction set of the 16-bit 8086 processor that (in its 8-bit–bus variant, the 8088) powered the original IBM PC. Because of its long evolution, the x86 ISA differs from more recent and coherent designs, notably RISC processors such as SPARC. Many of the x86’s unusual features are convenient for return-oriented programming; as we show, however, they are not necessary.

For additional information about the x86 architecture, see Intel’s manuals online [Intel Corporation 2011].

3.1.1. Memory. The x86’s native machine word is 32 bits. Data is stored in a little-endian format. The x86 allows unaligned memory access. Operations are possible on memory and some registers in 16-bit and 8-bit chunks; for example, %ax names the less-significant half of the %eax register; %ah and %al name the less and more significant bytes, respectively, of %ax.

3.1.2. Instruction Set. The x86 is a complex instruction register-memory machine. Most instructions can access memory directly by means of the ModR/M and SIB bytes (discussed later). This is in contrast to RISC designs with dedicated load/store instructions. A variety of addressing modes are supported for operands, the most complex of which allows the programmer to specify a register base, a register index (with a scale multiplier of 1 to 4 bytes), and an immediate offset.
3.1.3. Instruction Encoding. Instructions are variable-length and unaligned, ranging from 1 byte to as many as 12. With some exceptions, instruction encoding is orthogonalized: optional prefix bytes (specifying, e.g., how to repeat string instructions); a one- or two-byte opcode; an optional ModR/M (model, register/memory) specifying the addressing mode; an optional SIB (scale, index, base) byte used in some addressing modes; and up to two immediates—each up to 4 bytes—specifying displacement and immediate values.

If we are given a byte stream and a starting offset, we can unambiguously decode the instruction at that offset. Starting from different offsets, we will find different instructions if we start in the middle of an intended instruction, including instructions never intended by the programmer or the compiler’s code-issue module. Indeed, the high density of the x86’s instruction encodings means that a random byte stream can be interpreted as a series of valid instructions with high probability [Barrantes et al. 2005].

3.1.4. Registers. The x86 has eight general-purpose integer registers: %eax, %ebx, %ecx, %edx, %ebp, %esi, %edi, and %esp. Each of these is 32 bits, the native word size. As noted in Section 3.1.1, certain portions of these registers can also be accessed as 16-bit or 8-bit registers. In earlier iterations of the instruction set, these registers were more specialized, but now they are mostly interchangeable. There are a few notable exceptions: %esp is the stack pointer, which instructions such as push and pop manipulate; %ebp is conventionally the frame pointer, as reflected in instructions like enter and leave; and %esi and %edi are the source and destination registers for certain string operations, respectively.

In addition to the general-purpose registers, the x86 has an instruction pointer, %eip; an %eflags pseudoregister used in conditional branches; and segment registers that support segmented memory access, mostly unused in today’s typical flat 32-bit memory access model. (Segments were used by some systems to implement W⊕X before NX/XD hardware support was added to x86 processors [PaX Team 2003c].)

3.1.5. The Calling Convention. In the commonly used System V x86 ABI [Santa Cruz Operation 1996], function arguments and return address are passed on the stack. The call instruction pushes the caller return address onto the stack and transfers control to the callee; the ret instruction pops a return address off the stack and transfers control to that address. The x86 stack grows from high to low memory. Arguments can be pushed in any order, and different conventions specify either first argument last on stack (C-style) or the opposite (Pascal-style). A function’s return value is put in %eax if it is 4 bytes long, or in a combination of registers if it is longer. Of the general-purpose registers, %ebx, %ebp, %esi, and %edi are conventionally callee-saved, while %eax, %ecx, and %edx are caller-saved.

When %ebp is used as a frame pointer, the idiomatic function prologue reads “push %ebp; mov %esp, %ebp”; the idiomatic function epilogue reads “mov %ebp, %esp; pop %ebp.” The enter and leave instructions are synonyms for these two sequences.

The x86 includes instructions to support ABIs that differ from the one described here. While these instructions generally do not occur in normal programs, they can sometimes be found in the unintentional instruction streams found by jumping into the middle of intended instructions. Most importantly for our purposes, the x86 ISA actually includes four opcodes that perform a return instruction: c3 (near return, the version used in the System V ABI), c2 imm16 (near return with stack unwind), cb (far return), and ca imm16 (far return with stack unwind). The variants with stack unwind, having popped the return address off the stack, increment the stack pointer by imm16 bytes. This is useful in calling conventions in which arguments are callee-cleaned.
The far variants pop \%cs off the stack as well as \%eip. All four variants can be used in return-oriented programming, though using the three besides c3 is more difficult: for the far variants, the correct code segment must be placed on the stack; for the stack-unwind variants, a stack underflow must be avoided.

### 3.1.6. Buffer Overflows on the x86

We have already discussed buffer overflow techniques generally in Section 2. Because of its dominant position as the processor in general-purpose desktop computers, the x86 has received substantial attention as the target of low-level attacks, such as buffer overflows. Its particularly useful architectural features, from an attacker’s perspective, are the placement of activation record metadata—such as the saved return address—on the stack where it can be overwritten by a buffer overflow, and the unstructured calling convention and the use of frame pointer—making chained return-into-libc attacks possible [Nergal 2001]. For more information, see, for example, the survey by Erlingsson [2007].

### 3.1.7. The x86 and Return-Oriented Programming

Several features of the x86 ISA make it an attractive platform for return-oriented programming. The instruction encoding is variable-length and unaligned, giving unintended instructions if one jumps into the middle of certain instructions. The instruction set is large and its encoding is dense, so a variety of instructions are available for use, even in relatively small programs. There are few general-purpose registers, so it is often possible to coordinate dataflow in a register between two useful instruction sequences. The calling convention uses the stack, which an attacker can often overwrite, and it is relatively unstructured, so instruction sequences ending in \%ret can generally be chained together.

### 3.2. The SPARC Architecture

The SPARC platform differs from x86 in almost every significant architectural feature. Many of the features of the x86 that make it attractive for return-oriented programming are lacking on the SPARC. SPARC is a load-store RISC architecture, whereas the x86 is memory-register CISC. SPARC instructions are fixed-width (4 bytes for 32-bit programs) and alignment is enforced on instruction reads, whereas x86 instructions are variable-length and unaligned. The SPARC is register-rich, whereas the x86 is register-starved. The SPARC calling convention is highly structured and based on register banks, whereas the x86 uses the stack in a free-form way. SPARC passes function arguments and the return address in registers, the x86 on the stack. The SPARC pipelining mechanism uses delay slots for control transfers (e.g., branches), whereas the x86 does not.

Although the rest of this section only surveys the SPARC features relevant to stack overflows and program control hijacking, more detailed descriptions of the SPARC architecture are variously available [Paul 1999; SPARC Int. Inc. 1996; Weaver and Germond 1994].

#### 3.2.1. Registers

Each SPARC function has access to 32 general-purpose integer registers: eight global registers \%g[0–7], eight input registers \%i[0–7], eight local registers \%l[0–7], and eight output registers \%o[0–7]. The SPARC \%g[0–7] registers are globally available to a process, across all stack frames. The special \%g0 register cannot be set and always retains the value 0.

The remaining integer registers are available as independent sets per stack frame. Arguments from a calling stack frame are passed to a called stack frame’s input registers, \%i[0–7]. Register \%16 is the frame pointer (\%fp), and register \%17 contains the return address of the call instruction of the previous stack frame. The local registers \%l[0–7] can be used to store any local values.
The output registers %o[0-7] are set by a function calling a subroutine. Registers %o[0-5] contain function arguments; register %o6 is the stack pointer (%sp); and register %o7 contains the address of the call instruction.

### 3.2.2. Register Banks
Although only 32 integer registers are visible within a stack frame, SPARC hardware typically includes eight global and 128 general-purpose registers. The 128 registers form banks or sets that are activated with a register window that points to a given set of 24 registers as the input, local, and output registers for a stack frame.

On normal SPARC subroutine calls, the save instruction slides the current window pointer to the next register set. The register window only slides by 16 registers, as the output registers (%o[0-7]) of a calling stack frame are simply remapped to the input registers (%i[0-7]) of the called frame, thus yielding eight total register banks. When the called subroutine finishes, the function epilogue (ret and restore instructions) slides back the register window pointer.

SPARC also offers a leaf subroutine, which does not slide the register window. For this article, we focus exclusively on non-leaf subroutines and instruction sequences terminating in a full ret and restore.

When all eight register banks fill up (e.g., more than eight nested subroutine calls), additional subroutine calls evict register banks to respective stack frames. Additionally, all registers are evicted to the stack by a context switch event, which includes blocking system calls (like system I/O), preemption, or scheduled time quantum expiration. Return of program control to a stack frame restores any evicted register values from the stack to the active register set.

### 3.2.3. The Stack and Subroutine Calls
The basic layout of the SPARC stack is illustrated in Figure 1. On a subroutine call, the caller writes the address of the call instruction into %o7 and branches program control to the subroutine.

After transfer to the subroutine, the first instruction is typically save, which shifts the register window and allocates new stack space. The top stack address is stored in %sp (%o6). The following 64 bytes (%sp - %sp+63) hold evicted local/input registers. Storage for outgoing and return parameters takes up %sp+64 to %sp+91. The space from %sp+92 to %fp is available for local stack variables and padding for proper byte alignment. The previous frame's stack pointer becomes the current frame pointer %fp (%i6).

A subroutine terminates with ret and restore, which slides the register window back down and unwinds one stack frame. Program control returns to the address in %i7 (plus eight to skip the original call instruction and delay slot). By convention, subroutine return values are placed in %i0 and are available in %o0 after the slide. Although there are versions of restore that place different values in the return %o0 register, we only use %o0 values from plain restore instructions in this article.

### 3.2.4. Buffer Overflows and Return-into-Libc
SPARC stack buffer exploits typically overwrite the stack save area for the %i7 register with the address of injected shellcode or an entry point into a libc function. As SPARC keeps values in registers whenever possible, buffer exploits usually aim to force register window eviction to the stack, then overflow the %i7 save area of a previous frame, and gain control from the register set restore of a stack frame return.

In 1999, McDonald published a return-into-libc exploit of Solaris 2.6 on SPARC [McDonald 1999] modeled after Solar Designer’s original exploit. McDonald overflowed a strcpy() function call into a previous stack frame with the address of a fake frame stored in the environment array. On the stack return, the fake frame jumped...
control (via %i7) to system() with the address of "/bin/sh" in the %i0 input register, producing a shell. Other notable exploits include Ivaldi’s [Ivaldi 2007] collection of various SPARC return-into-libc examples, ranging from pure return-into-libc attacks to hybrid techniques for injecting shellcode into executable segments outside the stack.

4. RETURN-ORIENTED PROGRAMMING

4.1. Principles of Return-Oriented Programming

In this section, we lay out the principles of return-oriented programming, comparing it to the traditional way in which computers are programmed for legitimate purposes. While our examples draw on x86 assembly, the principles are widely applicable.

The principles we describe are the result of working out the implications of the following question: How should programs be constructed if the stack pointer takes the place of the instruction pointer?

4.1.1. Program Layout. An ordinary program is made up of a series of machine instructions laid out in the program’s text segment. Each instruction is a byte pattern that, interpreted by the processor, induces some change in the program’s state. The instruction pointer governs what instruction is to be fetched next; it is automatically advanced by the processor after each instruction, so that instructions are interpreted in sequence, barring a jump or other transfer of control flow. This situation is illustrated in Figure 2.

A return-oriented program is made up of a particular layout of the stack segment. Each return-oriented instruction is a word on the stack pointing to an instruction sequence (in the sense of ordinary programs) somewhere in the exploited program’s memory. (We can think of these pointers as being byte patterns in an idiosyncratic new instruction set.) The stack pointer governs what return-oriented instruction sequence is to be fetched next in the following way. The execution of a ret instruction has two effects: first, the word to which %esp points is read and used as the new value for %eip; second, %esp is incremented by 4 bytes to point to the next word on the stack. If the instruction sequence now being executed by the processor also ends in a ret, this process will be repeated, again advancing %esp and inducing execution of another instruction sequence. This situation is illustrated in Figure 3.

<table>
<thead>
<tr>
<th>Address</th>
<th>Storage</th>
</tr>
</thead>
<tbody>
<tr>
<td>%sp</td>
<td>Top of the stack</td>
</tr>
<tr>
<td>%sp - %sp+31</td>
<td>Saved registers %i[0-7]</td>
</tr>
<tr>
<td>%sp+32 - %sp+63</td>
<td>Saved registers %i[0-7]</td>
</tr>
<tr>
<td>%sp+64 - %sp+67</td>
<td>Return struct for next call</td>
</tr>
<tr>
<td>%sp+68 - %sp+91</td>
<td>Outgoing arg. 1-5 space for caller</td>
</tr>
<tr>
<td>%sp+92 - up</td>
<td>Outgoing arg. 6+ for caller (variable)</td>
</tr>
<tr>
<td>%sp+...</td>
<td>Current local variables (variable)</td>
</tr>
<tr>
<td>%fp</td>
<td>Top of the frame (previous %asp)</td>
</tr>
<tr>
<td>%fp - %fp+31</td>
<td>Prev. saved registers %i[0-7]</td>
</tr>
<tr>
<td>%fp+32 - %fp+63</td>
<td>Prev. saved registers %i[0-7]</td>
</tr>
<tr>
<td>%fp+64 - %fp+67</td>
<td>Return struct for current call</td>
</tr>
<tr>
<td>%fp+68 - %fp+91</td>
<td>Incoming arg. 1-5 space for callee</td>
</tr>
<tr>
<td>%fp+92 - up</td>
<td>Incoming arg. 6+ for callee (variable)</td>
</tr>
</tbody>
</table>

Fig. 1. SPARC stack layout.
Whereas for ordinary programs the processor takes care of fetching the next instruction and advancing the instruction pointer, in return-oriented programming, it is the ret instruction at the end of each instruction sequence that induces fetch-and-decode, like the carriage return key on a manual typewriter. (The processor still takes care of advancing %eip within an instruction sequence, but this is now, in effect, an implementation detail, the way a single x86 instruction might be implemented internally by a series of smaller microinstructions.)

4.1.2. No-op Instructions. The simplest instruction is the no-op, which has no effect except advancing the program counter. Instruction sets generally include such an instruction: on the x86, one can use nop. In return-oriented programming, a no-op is simply a stack word containing the address of a ret instruction. These can be composed to form a “nop sled,” as illustrated in Figure 4.

4.1.3. Encoding Immediate Constants. Instructions in ordinary programming can encode immediate constants. For example, the instruction mov 0xdeadbeef, %eax, which sets %eax to the value deadbeef, is encoded as bb ef be ad de, where the last four bytes are the little-endian representation of deadbeef. We can thus view the instruction stream in an ordinary program as including both operations and certain immediate operands that the instructions operate on. In return-oriented programming a similar effect is possible when instruction sequences include a pop reg instruction. For example, a pop %ebx; ret sequence will store the next word on the stack in %ebx and advance the stack pointer past it. This is illustrated in Figure 5.

4.1.4. Control Flow. In ordinary programs, many instructions can cause the processor to transfer control elsewhere than the current instruction sequence. These transfers can be unconditional or conditional, and they can be direct (jumping to a location determined by an immediate constant) or indirect (jumping to a location named in a memory location or register). Regardless of their type, they operate by changing the value of the instruction pointer, %eip. In a return-oriented program, control flow is instead effected by perturbing the value of the stack pointer, %esp.
For unconditional, direct jumps, the instruction sequence "pop %esp; ret" will do, if it can be found: this is a form of immediate-load, as in Section 4.1.3. An example is given in Figure 6. Conditional and indirect jumps are more tricky, and implementing them is generally the most difficult part of instantiating a return-oriented programming environment on a new platform. The problem is that while processors include many branch instructions, these (not surprisingly) operate on the instruction pointer and are, thus, useless. For return-oriented programming, we must synthesize test and branch primitives some other way.

4.1.5. Gadgets. The techniques described so far suffice for Turing-complete return-oriented programming. Often, however, more than one instruction sequence will be needed to encode a logical operation. For example, loading a value from memory may require first reading its address into a register from an immediate, then reading the memory. It is helpful to think of the arrangement on the stack that causes these two sequences to be executed as a single load gadget; an example is given in Figure 7.

More generally, a gadget is an arrangement of words on the stack, including one or more instruction sequence pointers and associated immediate values, that encodes a logical unit. Gadgets act like a return-oriented instruction set and are the natural target of a return-oriented compiler's assembler.

Correct execution of a gadget requires the following precondition: %esp points to the first word in the gadget and the processor executes a ret instruction. Each gadget then is constructed so that it satisfies the following postcondition: when the ret instruction in its last instruction sequence is executed, %esp points to the next gadget to be executed. Together, these conditions guarantee that the return-oriented program will execute correctly, one gadget after another.

4.2. Return-Oriented Exploitation

A return-oriented program is one or more gadgets arranged so that, when executed, they effect the behavior the attacker intends. The payload containing these gadgets must be placed in the memory of the program to be exploited, and the stack pointer must be redirected so it points to the first gadget. The easiest way to accomplish these tasks is by means of a buffer overflow on the stack; the gadgets are placed on the overflowed stack so that the first has overwritten the saved instruction pointer of some function. When that function tries to return, the return-oriented program is executed instead. However, a stack overflow isn’t necessary. The payload containing the return-oriented program could be on the heap, and the attacker could trigger its execution by overwriting a function pointer with the address of a code snippet that sets %esp to the address of the first gadget and executes a return.

We note that the gadgets that make up a return-oriented program need not all be placed contiguously, in a single payload. By means of control flow gadgets, an attack can transfer control from a small first stage on the stack to a larger second stage.
payload on the heap. Indeed, the first stage could read in the second stage payload over the network, as in the Metasploit Project’s multistage exploits.

4.3. Finding Useful Instruction Sequences
The building blocks for the traditional return-into-libc attack are functions, and these can be removed by the maintainers of libc or other target library/binary. By contrast, the building blocks for our attack are short code sequences, each typically just two to five instructions long. Every instruction sequence that ends in a `ret` is potentially useful. In this section we discuss how an attacker can enumerate the available instruction sequences in order to construct gadgets.

4.3.1. Intended Instruction Sequences. Every instruction sequence ending in a return instruction—`ret` on x86, and the `ret`, `restore` sequence on SPARC—is potentially useful. One obvious source of such sequences is function suffixes and exits in a target library like libc. In any target corpus on any platform, there will exist many such terminations. We simply backtrack from these returns and examine the preceding instructions for useful functional bits.

On a CISC platform such as the x86, where instructions are variable-length and unaligned, we can additionally use unintended instruction sequences discussed in Section 4.3.2. By contrast, the SPARC platform restricts 32-bit instructions to a 4-byte width and enforces alignment on instruction read, preventing us from using unintended instructions.

We carry out our experiments on the standard (SUN-provided) Solaris C library (version 1.23) in `/lib/libc.so.1`. Our testing environment was a SUN SPARC server running Solaris 10 (SunOS 5.10), with a kernel version string of `Generic\_120011-14`.

Our search relies on static code analysis (with the help of some Python scripts) of the disassembled Solaris libc. The library, which is around 1.3 megabytes in size, contains over 4,000 `ret`, `restore` terminations, each of which potentially ends a useful instruction sequence. We examine each of these returns and work backwards, cataloging the useful computations we find along the way.

4.3.2. Unintended Instruction Sequences. The second option for finding returns, available on architectures like the x86, where instructions are variable-length and unaligned is to look beyond the instructions placed by the compiler or assembler and consider returns found by jumping into the middle of existing instructions.

Here is a concrete example of such unintended instructions on the x86, taken from our testbed x86 libc. Two instructions in the entry point `ecb_crypt` are encoded as follows:

```
f7 c7 07 00 00 00  test $0x00000007, %edi
0f 95 45 c3        setnzb -61(%ebp).
```

Starting one byte later, the attacker instead obtains

```
c7 07 00 00 00 0f  movl $0x0f000000, (%edi)
95 45     xchg %ebp, %eax
 c3 in %ebp
```

In fact, there are other possible combinators. For example, if `%ebx` points to a `ret` instruction in libc, then any sequence ending in `jmp %ebx` can be used.

---

ACM Transactions on Information and System Security, Vol. 15, No. 1, Article 2, Publication date: March 2012.
Because of the density of the x86 ISA, it is quite easy to find not just unintended instructions but entire unintended sequences of instructions. These sequences must end in a \texttt{ret} instruction, represented by the byte \texttt{c3}.

We carry out our experiments on the GNU C Library distributed with Fedora Core Release 4: \texttt{libc-2.3.5.so}. Our testing environment was a Pentium 4 running Fedora Core Release 4, with Linux kernel version 2.6.14 and GNU libc 2.3.5. The gadget catalog we give in Section 5 uses only unintended sequences—those that begin in the middle of a “real” instruction and end with a \texttt{ret}, but whose terminating \texttt{ret} may or may not be unintended. This demonstrates the power of unintended instruction sequences. Also considering intended instruction sequences, as in Section 4.3.1, would only increase an attacker’s power.

Two observations guide us in the choice of a data structure in which to record our findings. First, any suffix of an instruction sequence is also a useful instruction sequence. If, for example, we discover the sequence “a; b; c; \texttt{ret}” in libc, then the sequence “b; c; \texttt{ret}” must also exist. Second, it does not matter to us how often some sequence occurs, only that it does. Based on these observations, we choose to record sequences in a trie. At the root of the trie is a node representing the \texttt{ret} instruction; the “child-of” relation in the trie means that the child instruction immediately precedes the parent instruction at least once in libc. For example, if, in the trie, a node representing \texttt{pop %eax} is a child of the root node (representing \texttt{ret}), we can deduce that we have discovered the sequence \texttt{pop %eax; ret} somewhere in libc.

Our algorithm for populating the trie makes use of the following fact: It is easier to scan backwards from an already found sequence than to disassemble forwards from every possible location in the hope of finding a sequence of instructions ending in a \texttt{ret}. When scanning backwards, the sequence-so-far forms the suffix for all the sequences we discover. The sequences all start at instances of \texttt{ret}, which we can scan libc sequentially to find.

In looking backwards from some location, we must ask a few questions. Does the single byte immediately preceding our sequence represent a valid one-byte instruction? Do the two bytes immediately preceding our sequence represent a valid two-byte instruction? And so on, up to the maximum length of a valid x86 instruction. Any such question answered “yes” gives a new useful sequence of which our sequence-so-far is a suffix, and which we should explore recursively by means of the same approach. Because of the density of the x86 ISA, more than one of these questions can simultaneously have a “yes” answer.

We present our algorithm in pseudocode in Section A.1 in the online appendix.

5. X86 GADGET CATALOG

In this section, we describe our catalog of gadgets on the x86 platform. All the instruction sequences we use were found by our algorithm when run on our test libc.

Note that we rejected some sequences because they were (intended) suffixes of libc functions. We did this to prove that the availability of a Turing-complete gadget set is not an artifact of particular functions in our test libc. A real attacker would not reject such sequences and would have an easier time than we did.

The set of gadgets we describe is Turing-complete by inspection, so return-oriented programs can do anything possible with x86 code. We stress that the code sequences pointed to by our gadgets are actually contained in our target libc; they are not injected with the gadgets themselves—this is ruled out by W⊕X.

\footnote{From all the occurrences of a sequence, we might prefer to use one whose address does not include a \texttt{NUL} byte.}
5.1. Load/Store

We consider three cases: loading a constant into a register; loading the contents of a memory location into a register; and writing the contents of a register into a memory location.

5.1.1. Loading a Constant. The first of these can trivially be accomplished using a sequence of the form pop %reg; ret, as explained in Section 4.1.3. One such example is illustrated in Figure 8. In this figure (as in all the following), the entries in the ladder represent words on the stack; those with larger addresses are placed further down on the page. Some words on the stack will contain the address of a sequence in libc. Our notation for this shows a pointer from the word to the sequence. Other words will contain pointers to other words or immediate values.

5.1.2. Loading from Memory. We choose to load from memory into the register %eax, using the sequence movl 64(%eax), %eax; ret. We first load the address into %eax. Because of the immediate offset in the movl instruction we use, the address in %eax must actually be 64 bytes less than the address we wish to load. We then apply the movl sequence, after which %eax contains the contents of the memory location. The procedure is detailed in Figure 9. Note the notation we use to signify that “the pointer in this cell requires that 64 be added to it so that it points to some other cell.”

5.1.3. Storing to Memory. We use the sequence movl %eax, 24(%edx); ret to store the contents of %eax into memory. We load the address to be written into %edx using the constant-load procedure. The procedure is detailed in Figure 10.

5.2. Arithmetic and Logic

There are many approaches by which we could implement arithmetic and logic operations. The one that works best for the instruction sequences available in our libc is detailed. Other gadget sets on the x86 have used different approaches [Checkoway et al. 2010]. For all operations, one operand is %eax and the other is a memory location. Depending on what is more convenient, either %eax or the memory location receives the computed value. This approach allows us to compute memory-to-memory operations in a simple way: we load one of the operands into %eax using the load-from-memory methods of Section 5.1. We then apply the operation, and, if the result is now held in %eax, we write it to memory using the store-to-memory methods in Section 5.1.

5.2.1. Add. The most convenient available sequence is

\[
\text{addl} (%edx), %eax; \quad \text{push} \ %edi; \quad \text{ret}.
\]
The first instruction adds the word at %edx to %eax, which is exactly what we want. The push instruction, however, creates some problems. First, the value pushed onto the stack is immediately used by the ret instruction as the address for the next code sequence to execute, which means the values we can push are restricted. Second, the push overwrites a word on the stack, so that if we execute the gadget a second time (say, in a loop) it will not behave the same.

We address these two problems as follows. First, before undertaking the addl instruction sequence, we load into %edi the address of a ret instruction. This acts as a return-oriented no-op (cf. Section 4.1.2), counteracting the effect of the push and continuing the program’s execution. Second, we fix up the last word in the gadget with the address of (1), as part of the gadget’s code. The complete add gadget is illustrated in Figure 11.

5.2.2. Other Arithmetic Operations. The sequence neg %eax; ret allows us to compute \(-x\) given \(x\) and, together with the addition method in Section 5.2.1, also allows us to subtract values. There is not a convenient way to compute multiplication in the sequences we found in libc, but the operation could be simulated using addition and the following logic operations.

5.2.3. Exclusive Or. We could implement exclusive or just as we implemented addition if we had a sequence like xorl (%edx), %eax or xorl %eax, (%edx), available, but we do not. We do, however, have access to a byte-wise operation of the form xorl %al, (%ebx). If we can move each byte of %eax into %al in turn, we can compute a word-wise xor of %eax into a memory location \(x\) by repeating the operation four times, with %ebx taking on the values \(x\), \(x + 1\), \(x + 2\), and \(x + 3\). Conveniently, we can rotate %eax using the sequence ror $0x08, %eax; ret. All that remains, then, is to deal with the side effects of the xor sequence we have,

\[
xorb %al, 0x48908c0(%ebx); \text{ and } 0xff, %al; \text{ push %ebp; or } 0xc9, %al; \text{ ret.} \tag{2}
\]

The immediate offset in the xorb instruction means that the values we load into %ebx must be adjusted appropriately. The and and or operations have the effect of destroying the value in %al, but by then we have already used %al, so this is no problem. (If we want to undertake another operation with the value in %eax, we must reload it from memory.) The push operation means that we must load the address of a ret instruction into %ebp and that, if we want the xor to be repeatable, we must rewrite the xorb instructions into the gadget each time, as described for repeatable addition.

We present a (one-time) xor gadget in Section A.2 in the online appendix.
5.2.4. And, Or, Not. Bitwise-and and -or are also best implemented using bytewise operations, much like the xor method. The code sequences are, respectively,

```
andb %al, 0x5d5e0cc4(%ebx); ret
orb %al, 0x40e4602(%ebx); ret.
```

These code sequences have fewer side effects than (2) for xor, sequence, so they are simpler to employ. Bitwise-not can be implemented by xoring with the all-1 pattern.

5.2.5. Shifts and Rotates. We first consider shifts and rotates by an immediate (constant) value. In this case, instead of implementing the full collection of shifts and rotates, we implement a single operation: a left rotate. This suffices for constructing the rest: a right rotate by \( k \) bits is a left rotate by \( 32 - k \) bits. A shift by \( k \) bits in either direction is a rotate by \( k \) bits followed by a mask of the bits to be cleared, which can itself be computed using the bitwise-and method. The code sequence we use for rotation is

```
roll %cl, 0x17383f8(%ebx); ret.
```

Rotating by a variable number of bits could use the same instruction sequence, setting \( %ecx \) according to the desired rotation amount.

We now consider shifts and rotates by a variable number of bits. The gadget in Figure 34 reads the value of from the stack. If we wish for this value to depend on some other memory location, we can simply read that memory location and write it to the word on the stack from which \( %ecx \) is read. Implementing variable-bit shifts is a bit more difficult, because we must now come up with the mask corresponding to the shift bits. The easiest way to achieve this is to store a 32-word lookup table of masks in the program.

We present a rotation gadget in Section A.2 in the online appendix.

5.3. Control Flow

5.3.1. Unconditional Jump. As we noted in Section 4.1.4, an unconditional jump requires simply changing the value of \( %esp \) to point to a new gadget, as with \( \text{pop } %esp; \ ret \). Figure 12 shows a gadget that causes an infinite loop by jumping back on itself.

Loops in return-into-libc exploits have been considered before (see Gera’s “esoteric #2” challenge [Richarte 2000, 2001]).

5.3.2. Conditional Jumps. These are substantially trickier. We develop a method for obtaining conditional jumps.

We begin with some review. The \( \text{cmp} \) instruction compares its operands and sets a number of flags in a register called \( %eflags \) based on their relationship. In x86 programming, it is often unnecessary to use \( \text{cmp} \) directly, because many operations set flags as a side effect. The conditional jump instructions, \( \text{jcc} \), cause a jump when the flags satisfy certain conditions. Because this jump is expressed as a change in the instruction pointer, the conditional jump instructions are not useful for return-oriented programming. What we need is a conditional change in the stack pointer.

The strategy we develop is in three parts, which we tackle in turn.

1. Undertake some operation that sets (or clears) flags of interest.
2. Transfer the flag of interest from \( %eflags \) to a general-purpose register.
3. Use the flag of interest to perturb \( %esp \) conditionally by the desired jump amount.

An alternative strategy would be to avoid \( %eflags \) altogether by implementing our own comparisons as bit operations on registers.

For the first task, we choose to use the carry flag, CF, for reasons that will become clear later. Employing just this flag, we obtain the full complement of standard comparisons. Most easily, we can test whether a value is zero by applying \( \text{neg} \) to it. The
neg instruction (and its variants) calculates two's-complement and, as a side effect, clears CF if its operand is zero and sets CF otherwise.

If we wish to test whether two values are equal, we can subtract one from the other and test (using neg) whether the result is zero. If we wish to test whether one value is larger than another, we can, again, subtract the first from the second. The sub instruction (and its variants) sets CF when the subtrahend is larger than the minuend.

For the second task, the natural way to proceed is the lahf instruction, which stores the five arithmetic flags in %ah. Unfortunately, this instruction is not available to us in the libc sequences we found. Another way is the pushf instruction, which pushes a word containing all of %eflags onto the stack. This instruction, like all push-ret sequences, is tricky to use in a return-oriented setting.

Instead, we use the add with carry instruction, adc. Add with carry computes the sum of its two operands and the carry flag, which is useful in multiword addition algorithms. If we take the two operands to be zero, the result is 1 or 0 depending on whether the carry flag is set, which is exactly what we need. This we can do quite easily by clearing %ecx and using the instruction sequence adc %cl, %cl; ret. The process is detailed in Figure 13. We note, finally, that we can evaluate complicated Boolean expressions by collecting CF values for multiple tests and combining them with the logical operations described in Section 5.2.

For the third task, we proceed as follows. We have a word in memory that contains 1 or 0. We transform it to contain either esp_delta or 0, where esp_delta is the amount we'd like to perturb %esp by if the condition evaluates as true. One way to do this is given in Figure 14. Now we have the desired perturbation, and it is simple to apply it to the stack pointer by means of the sequence

\[
\text{addl}(%eax), %esp; \text{addb} %al, (%eax); \text{addb} %cl, 0(%eax); \text{addb} %al, (%eax); \text{ret}
\]

with %eax pointing to the displacement. For completeness, we describe a gadget performing this task in Section A.2 in the online appendix.

### 5.4. System Calls

To trap into the kernel, we could first load the desired arguments into registers and then make use of a int 0x80; ret or sysenter; ret sequence in libc. On Linux, we can instead look for an lcall %gs:0x10,(0) instruction. This will invoke __kernel_vsyscall in linux-gate.so.1, which, in turn, will issue the sysenter or int 0x80 instruction (cf., [Garg 2006]). We detail a gadget that invokes a system call in Figure 15.

---

4The lcall sequence, unlike the others we use in this section, isn't an unintended instruction sequence. We justify this by noting that nearly all programs make system calls. Another option is to parse the ELF auxiliary vectors (cf., [Garg 2006a].)
Fig. 14. Conditional jumps, task three, part one: Convert the word (labeled “CF here”) containing either 1 or 0 to contain either esp_delta or 0. The data word labeled (scratch) is used for scratch.

Arguments could be loaded ahead of time into the appropriate registers in order: %ebx, %ecx, %edx, %esi, %edi, and %ebp. We have left space in case the vsyscall function spills values onto the stack, as the sysenter-based version does. Note that the word pointing to lcall also would be overwritten, and a repeatable version of this gadget would need to restore it each time.

5.5. Function Calls
Finally, we note that nothing prevents us from making calls to arbitrary functions in libc. This is, in fact, the basis for previous return-into-libc exploits, and the required techniques are described by Nergal [2001]. The discussion of “frame faking” is of particular interest. A special stack frame should be reserved for the called function, as discussed in Section 6.6.

5.6. Shellcode
We now present a return-oriented shellcode. Our shellcode invokes the execve system call to run a shell. This requires (1) setting the system call index, in %eax, to 0xb; (2) setting the path of the program to run, in %ebx, to the string “/bin/sh”; (3) setting the argument vector argv, in %ecx, to an array of two pointers, the first of which points to the string “/bin/sh” and the second of which is null; and (4) setting the environment vector envp, in %edx, to an array of one pointer, which is null. The shellcode is in Figure 16.

We store “/bin/sh” in the top two words of the shellcode. We use the next two words for the argv array and reuse the higher of these also for the envp array. We can set up the appropriate pointers as part of the shellcode itself, but to avoid NUL bytes we must zero out the null-pointer word after the shellcode has been injected.

The rest of the shellcode behaves as follows. Word 1 (from the bottom) sets %eax to zero. Words 2–4 load into %edx the address of the second word in argv (minus 24; see Section 5.1.2) and, in preparation for setting the system call index, load into %ecx the all-0b word. Word 5 sets the second word in argv to zero. Word 6 sets %eax to 0x0b by
modifying its least significant byte, %al. Words 7–8 point %ebx at the string "/bin/sh.
Words 9–11 set %ecx to the address of the argv array and %edx to the address of the
envp array. Word 12 traps into the kernel.

Provided that the addresses of the libc instruction sequences pointed to and the
stack addresses pointed to do not contain NUL bytes, this shellcode contains no NUL
bytes except for the terminator for the string "/bin/sh." NUL bytes in the stack ad-
dresses can be worked around by having the shellcode build these addresses at run-
time by examining %esp and operating on it. This would also allow the shellcode to be
position-independent. NUL bytes in libc addresses can be handled using well-known
shellcoding techniques, for example, Nergal [2001, Sec. 3.4].

Suppose that libc is loaded at base address 0x03000000 into some program, and that
this program has a function exploitable by buffer overflow, with return address stored
at 0x04ffffefc. In this case, the shellcode yields the following.

3e 78 03 03 07 7f 02 03 0b 0b 0b 0b 18 ff ff 4f
30 7f 02 03 4f 37 05 03 bd ad 06 03 34 ff ff 4f
07 7f 02 03 2c ff ff 4f 30 ff ff 4f 55 d7 08 03
34 ff ff 4f ad fb ca de 2f 62 69 2e 73 68 00.

Note that there is no NUL byte except the very last. Like all the other examples of
return-oriented code presented in this article, this shellcode uses only code that is
already present in libc and will function even in the presence of W⊕X.

6. SPARC GADGET CATALOG

In this section, we describe our set of SPARC gadgets using the Solaris standard C
library. Our collection mirrors our x86 gadget catalog described in Section 5 and is
similarly Turing-complete on inspection. An attacker can create a return-oriented
program comprised of our gadgets with the full computational power of a real SPARC
program. We emphasize that our collection is not merely theoretical; every gadget
discussed here is fully implemented in our exploit compiler (discussed in Section 7).

Our gadgets are chosen to dovetail with the highly structured SPARC calling con-
vention. When choosing instruction sequences to form gadgets, our chief concern is per-
sisting values (in registers or memory) across both individual instruction sequences,
as well as entire gadgets. Because the ret, restore suffix slides the register window
after each sequence, chaining computed values solely in registers is difficult. Thus, for persistent (gadget-to-gadget) storage, we rely exclusively on memory-based instruction sequences. By pre-assigning memory locations for value storage, we effectively create variables for use as operands in our gadgets.

For intermediate value passing (sequence-to-sequence), we use both register- and memory-based instruction sequences. For register-based value passing, we compute values into the input $%i_{0-7}$ registers of one instruction sequence/exploit frame, so that they are available in the next frame's $%o_{0-7}$ registers (after the register window slide). Memory-based value passing stores computed/loaded values from one sequence/frame into a future exploit stack frame. When the future sequence/stack frame gains control, register values are restored from the specific stack save locations written by previous sequences. This approach is more complicated but ultimately necessary for many of our gadgets.

We note in passing that the SPARC exploit techniques are far more restrictive than those of the x86, yet the ultimate attack is no less powerful.

We describe our gadget operations in terms of gadget variables (e.g., $v_1$, $v_2$, and $v_3$), where each variable refers to an addressable four-byte memory location that is read or modified in the course of the instruction sequences comprising gadgets in an exploit. Thus, for "$v_1 = v_2 + v_3$", an attacker pre-assigns memory locations for $v_1$, $v_2$, and $v_3$, and the gadget is responsible for loading values from the memory locations of $v_2$ and $v_3$, performing the addition, and storing the result into the memory location of $v_1$. Gadget-variable addresses must be designated before exploit payload construction, reference valid memory, and have no zero bytes (for string buffer encoding).

In our figures, the column “Inst. Seq.” describes a shorthand version of the effective instruction sequence operation. The column “Preset” indicates information encoded in an overflow. For example, “$%i_{13} = &v_2$” means that the address of variable $v_2$ is encoded in the register save area for $%i_{13}$ of an exploit stack frame. The notation “m[v2]” indicates access to the memory stored at the address stored in variable $v_2$. The column “Assembly” shows the libc instruction sequence assembly code.

6.1. Memory

As gadget variables are stored in memory, all gadgets use loads and stores for variable reads and writes. Thus, our memory gadgets describe operations using gadget variables to manipulate other areas of process memory. Our memory gadget operations are mostly analogous to C-style pointer operations, which load/store memory dereferenced from an address stored in a pointer variable.

6.1.1. Address Assignment. Assigning the address of a gadget variable to another gadget variable ($v_1 = &v_2$) is done by using the constant assignment gadget, described in Section 6.2.1.

6.1.2. Pointer Read. The pointer read gadget ($v_1 = *v_2$) uses two sequences and is described in Figure 17. The first sequence dereferences a gadget variable $v_2$ and places the pointed-to value into $%i_{10}$, using two loads. The second takes the value (now in $%o_{0}$ after the register window slide) and stores it in the memory location of gadget variable $v_1$.

6.1.3. Pointer Write. The pointer write gadget ($*v_1 = v_2$) uses two sequences and is described in Figure 18. The first sequence loads the value of a gadget variable $v_2$ into register $%i_{10}$. The second sequence stores the value (now in $%o_{0}$) into the memory location of the address stored in gadget variable $v_1$.
As the second instruction sequence indicates, we were not always able to find completely ideal assembly instructions in libc. Here, our load instruction (\texttt{ld [%i0 + 0x8], %i0}) actually requires encoding the address of \texttt{v1} minus eight into the save register area of the exploit stack frame, in order to pass the proper address value to the \texttt{%i0 + 0x8} load.

### 6.2. Assignment

Our assignment gadgets store a value (from a constant or other gadget variable) into the memory location corresponding to a gadget variable.

#### 6.2.1. Constant Assignment

Ideally, assigning a constant value to a gadget variable \((v1 = Value)\) would simply entail encoding a constant value in an exploit stack frame that is stored to memory with an instruction sequence. However, because all exploit frames must pack into a string buffer overflow, we have to encode constant values to avoid zero bytes. Our approach is to detect and mask any constant value zero bytes on encoding, and then re-zero the bytes later.

Our basic constant-assignment gadget for a value with no zero bytes is shown in Figure 19. Nonzero hexadecimal byte values are denoted with "**." For all other constants, we mask each zero byte with 0xff for encoding, then use \texttt{clrb} (clear byte) instruction sequences to re-zero the bytes and restore the full constant. For example, Figure 20 illustrates encoding for a value where the most significant byte is zero.
6.2.2. Variable Assignment. Assignment from one gadget variable to another (v1 = v2) is described in Figure 21. The memory location of a gadget variable v2 is loaded into local register %l6, then stored to the memory location of gadget variable v1.

6.3. Arithmetic

Arithmetic gadgets load one or two gadget variables as input, perform a math operation, and store the result to an output gadget variable’s memory location. Next, we show how to perform addition, subtraction, and negation. Increment and decrement are similar; We describe these gadgets in Section B.1 in the online appendix.

The addition gadget (v1 = v2 + v3) is shown in Figure 22. The gadget uses the two instruction sequences to load values for gadget variables v2 and v3 and stores them into the register save area of the third instruction sequence frame directly, so that the proper source registers in the third sequence will contain the values of the source gadget variables. The third instruction sequence dynamically gets v2 and v3 in registers %i0 and %i3, adds them, and stores the result to the memory location corresponding to gadget variable v1.

The subtraction gadget (v1 = v2 - v3) is analogous to the addition gadget, with nearly identical instruction sequences (except with a sub operation). The negation gadget (v1 = -v2) uses three instruction sequences to load a gadget variable, negate the value, and store the result to the memory location of an output variable.
6.4. Logic

Logic gadgets load one or two gadget variable memory locations, perform a bitwise logic operation, and store the result to an output gadget variable’s memory location.

6.4.1. And, Or, Not. We obtain a bitwise-and gadget using techniques quite similar to the addition gadget in Figure 22, but instead using

and %13, %14, %12; st %12, [%%11+%i0]; ret; restore

for the third sequence, and adjusting the first two sequences to store into %13 and %14. We present the gadget in Section B.1 in the online appendix.

The bitwise-or gadget ($v_1 = v_2 \mid v_3$) works like the bitwise-and gadget. Two instruction sequences load gadget variables $v_2$ and $v_3$ and write to a third frame, where the bitwise-or is performed. The result is stored to the memory location of variable $v_1$.

The bitwise-not gadget ($v_1 = \sim v_2$) uses two instruction sequences. The first sequence loads gadget variable $v_2$ into a register available in the second sequence, where the bitwise-not is performed, and the result is stored to the memory location of variable $v_1$.

6.4.2. Shift Left, Shift Right. The shift-left gadget ($v_1 = v_2 << v_3$) is similar to the bitwise-and gadget, with an additional store instruction sequence in the fourth frame, as described in Figure 23. The gadget variable $v_2$ is shifted left the number of bits stored in the value of $v_3$, and the result is stored in the memory location of gadget variable $v_1$. The shift-right gadget ($v_1 = v_2 >> v_3$) is virtually identical, except performing a srl (shift right) operation in the third instruction sequence.

6.5. Control Flow

Our control-flow gadgets permit arbitrary branching to label gadgets in a return-oriented program. In contrast to real programs, the control flow of a return-oriented program is entirely determined by the value of the stack pointer. Because the restored %i6 value of an exploit frame always defines the next gadget to run, our branching operations perform runtime modifications on the register save area of %i6 in our exploit stack frames.

Unconditional branches are easy to implement. Another exploit frame’s saved %i7 register points to a simple ret, restore instruction sequence (our gadget equivalent
of a nop instruction). On return, the stored frame pointer indicates the next exploit frame, and the return address points to the next instruction sequence.

Conditional branches are more complicated. First, we use instruction sequences to write ahead into the register save area of future exploit frames for values needed later. Next, we use an instruction sequence containing “cmp reg1, reg2,” which sets the condition code registers (and determines branching behavior). We then execute an instruction sequence containing a SPARC branch instruction (mirroring the gadget branch type) to conditionally set a memory or register value to either the “taken” or “not taken” exploit frame address. All SPARC branches have a delay slot. Annulled branches have the further property that the delay slot instruction only executes if the branch is taken. We use this property by choosing annulled branch instruction sequences that effectively produce a value of either the taken or not taken exploit frame address. The last frame in the instruction sequence simply restores the value of %i6 and performs a harmless ret, restore, branching to whatever gadget frame was set into %i6 by the previous annulled branch instruction sequence.

We use the terms T1 and T2 to refer to two different targets/labels, which are really entry addresses of other gadget stack frames. T1 corresponds to the taken (true) target address, and T2 is the not taken (false) address. Our branch labels are nop gadgets, consisting of a simple ret, restore instruction sequence, which can be inserted at any point in between other gadgets in a return-oriented program.

6.5.1. Branch Always. The branch-always gadget (jump T1) uses one instruction sequence consisting of a ret, restore, as shown in Figure 24. The address of a gadget label frame is encoded into the register save area of %i6.

6.5.2. Branch Equal; Branch Less Than or Equal; Branch Greater Than. Our branch-equal gadget (if (v1 == v2): jump T1, else T2) uses six instruction sequences, as described in Figure 25. Frames 1 and 2 write values v1 and v2 into the register save area of frame 3 for %i0 and %i2. Frame 3 restores %i0 and %i2, compares the dynamically written-ahead values of v1 and v2, and sets the condition code registers. Frame 4 contains the T2 address in the save area for %i0 and stores the T1 address (minus one) in %l0. The condition codes set in frame 3 determine the outcome of the be (branch equal) instruction in frame 4. If v1 == v2, then one is added to T1-1 and T1 is stored in %i0, else %i0 remains preset to T2. Frame 5 stores the selected target value of %i0 into frame 6 in the memory location of %i6. After frame 6 restores %i6 and returns, control is branched to the set target.

The branch-less-than-or-equal gadget (if (v1 <= v2): jump T1, else T2) uses six instruction sequences and is essentially identical to the branch-equal gadget, except that instruction sequence/frame 4 uses a branch-less-than-or-equal SPARC instruction (ble). Similarly, the branch-greater-than gadget (if (v1 > v2): jump T1, else T2) is virtually identical to the branch-equal gadget, except for using a branch-greater-than SPARC instruction (bg).

6.5.3. Branch Not Equal; Branch Less Than; Branch Greater Than or Equal. Gadgets for the remaining branches are obtained via simple wrappers around the branch gadgets in the previous section. Our branch-not-equal gadget (if (v1 != v2): jump T1, else T2) is equivalent to the branch-equal gadget with targets T1 and T2.
switched. The branch-less-than gadget (if \( v_1 < v_2 \): jump T1, else T2) is equivalent to branch-greater-than with reordered variables (if \( v_2 > v_1 \): jump T1, else T2). The branch-greater-than-or-equal gadget (if \( v_1 \geq v_2 \): jump T1, else T2) is equivalent to a similar reordering (if \( v_2 \leq v_1 \): jump T1, else T2).

### 6.6. Function Calls

Virtually all public return-into-libc SPARC exploits already target libc function calls. We provide similar abilities with our function call gadget.

In an ordinary SPARC program, subroutine arguments are placed in registers \( \%0-5 \) of the calling stack frame. The `save` instruction prologue of the subroutine slides the register window, mapping \( \%0-7 \) to the \( \%10-7 \) input registers. Thus, for our gadget, we have two options: (1) set up \( \%0-5 \) and jump into the full function (with the `save`), or (2) set up \( \%10-5 \) and jump to the function after the `save`. Unfortunately, the first approach results in an infinite loop because the initial `save` instruction will cause the \( \%7 \) function call instruction sequence entry point to be restored after the sequence finishes (repeatedly jumping back to the same entry point). Thus, we choose the latter approach and set up \( \%10-5 \) for our gadget.

A related problem is function return type. Solaris libc functions return with either `ret`, `restore` (normal) or `retl` (leaf). Because `retl` instructions leave \( \%7 \) unchanged after a sequence completes, any sequence in our programming model with leaf returns will infinitely loop. Thus, we only permit non-leaf subroutine calls, which still leaves many useful functions, including `printf()`, `malloc()`, and `system()`.

The last complication arises if a function writes to stack variables or calls other subroutines, which may corrupt our gadget exploit stack frames. To prevent this, when we actually jump program control to the designated function, we move the stack pointer to a predesignated “safe” call frame in lower stack memory than our gadget variables and frames. Stack pointer control moves back to the exploit frames once the function returns.

<table>
<thead>
<tr>
<th>Inst. Seq.</th>
<th>Preset</th>
<th>Assembly</th>
</tr>
</thead>
<tbody>
<tr>
<td>( m[%10] = v_1 )</td>
<td>( %17 = %10 ) ( %10 = &amp;v_1 )</td>
<td>( \text{ld} \ [%10], %16 ) ( \text{at} \ %16, [%17] ) ( \text{ret} ) ( \text{restore} )</td>
</tr>
<tr>
<td>( m[%12] = v_2 )</td>
<td>( %17 = %12 ) ( %10 = &amp;v_2 )</td>
<td>( \text{ld} \ [%10], %16 ) ( \text{at} \ %16, [%17] ) ( \text{ret} ) ( \text{restore} )</td>
</tr>
<tr>
<td>( v_1 == v_2 )</td>
<td>( %10 = v_1 ) ( \text{(stored)} ) ( %12 = v_2 ) ( \text{(stored)} )</td>
<td>( \text{cmp} \ %10, %12 ) ( \text{ret} ) ( \text{restore} )</td>
</tr>
<tr>
<td>( \text{if} (v_1 == v_2): ) ( %10 = T_1 ) ( \text{else:} ) ( %10 = T_2 )</td>
<td>( %10 = T_2 ) ( \text{(NOT.EQ)} ) ( %10 = T_1 ) ( \text{(EQ)} - 1 ) ( %12 = -1 )</td>
<td>( \text{be.a.I.ahead} ) ( \text{sub} \ %10, %12, %10 ) ( \text{ret} ) ( \text{restore} )</td>
</tr>
<tr>
<td>( m[%16] = %0 )</td>
<td>( %13 = %16 ) ( \text{(st)} ) ( %0, [%13] ) ( \text{ret} ) ( \text{restore} )</td>
<td></td>
</tr>
<tr>
<td>( \text{jump T1 or T2} )</td>
<td>( %16 = T_1 ) ( \text{or} ) ( T_2 ) ( \text{(stored)} )</td>
<td>( \text{ret} ) ( \text{restore} )</td>
</tr>
</tbody>
</table>

Fig. 25. Branch equal (if \( v_1 == v_2 \): jump T1, else T2).
Our function call gadget \((r1 = \text{call FUNC}, v1, v2, \ldots)\) is described in Figure 26, and uses from five to ten exploit frames (depending on function arguments) and a predesignated safe stack frame (referenced as \textit{safe}). The gadget can take up to six function arguments (in the form of gadget variables) and an optional return gadget variable. Note that \textit{LastF} represents the final exploit frame to jump back to, and \textit{LastI} represents the final instruction sequence to execute. The final frame encodes either a \texttt{nop} instruction sequence or a sequence that stores \(\%o0\) (the return value register in SPARC) to a gadget variable memory location.

### 6.7. System Calls

On SPARC, Solaris system calls are invoked by trapping to the kernel using a trap instruction (like “trap always,” \texttt{ta}) with the value of \(0x8\) for 32-bit binaries on a 64-bit CPU (which comports with our test environment). Setup for a trap entails loading the system call number into global register \%g1 and placing up to six arguments in output registers \%o0-5.

Our system call gadget (\texttt{syscall NUM}, \textit{v1}, \textit{v2}, \ldots) uses three to nine instruction sequences (depending on the number of arguments) and is described in Figure 27. The first instruction sequence loads the value of a gadget variable \textit{num} (containing the desired system call number) and stores it into the last (trap) frame \%i0 save area. Up to six more instruction sequences can load gadget variable values \textit{v1-6} that store to the register save area \%i0-5 of the next-to-last frame, which will be available in the final (trap) frame as registers \%o0-5, after the register slide. The final frame calls the \texttt{ta 8} SPARC instruction and traps to the kernel for the system call.

### 7. GADGET EXPLOIT FRAMEWORK

Our x86 (Section 5) and SPARC (Section 6) gadget catalogs provide sufficient tools for an attacker to hand-code a custom return-oriented program exploit for a vulnerable application, as demonstrated in practice for the x86 in Section 5.6. However, to
illustrate the fundamental power of return-oriented programming and the extensibility of our gadget collection, we take our SPARC research a step further and actually implement a compiler with a dedicated exploit programming language. Using the dedicated exploit language, an attacker can craft new exploits using any number of our SPARC gadgets in mere minutes.

Though our compiler is SPARC-specific, an analogous one for the x86 could just as easily be written. And, though we designed our own exploit language, a return-oriented backend could be added to a compiler suite, such as LLVM, allowing exploits to be written in any supported frontend language.

Our goals in writing a compiler are twofold: (1) make the process of creating different exploit payloads for arbitrary vulnerabilities as easy as possible, and (2) provide the expressive power of a high-level language (like C) for return-oriented programs on SPARC. To accomplish these goals, we implement a source-to-source translating compiler in Java using the CUP and JFlex compiler generation tools.5

The exploit language implements C constructs, such as variables, loops, pointers, function calls, and arithmetic operations. (Being a proof-of-concept, it omits some features like user-defined functions, structures, arrays, and floating-point operations.)

The compiler translates the exploit language into actual C source code, inserting calls to functions that implement individual gadgets. The compiler’s output can then be compiled into an exploit wrapper executable. The functions implementing individual gadgets form a C gadget API, described in Section B.2 in the online appendix.

Figure 28, for example, is an exploit that execs a shell.

5http://www2.cs.tum.edu/projects/cup/ and http://jflex.de/.
8. EXAMPLE SPARC EXPLOIT

Beyond the simple x86 shellcode of Section 5.6 and the basic `execve` system call examples in Section 7, we provide the a more complex return-oriented SPARC exploit to further demonstrate the extensibility of the return-oriented programming technique, once a little abstraction is added. Additionally, we provide substantially more complicated example return-oriented programs using our framework in Section 9.

8.1. Vulnerable Application

Our target application (shown in Figure 29) is a simple C program with an obvious buffer overflow vulnerability, which we compile with SPARC non-executable stack protection enabled. As discussed in Section 3.2.4, if we overflow `foo()` into the stack frame for `main()`, when `main()` returns the register save area for `%i6` will determine the next stack frame, and `%i7` will determine the next instruction to execute.

8.2. Exploit

We create a return-oriented program exploit by selecting SPARC gadgets and encoding them into a buffer overflow payload consisting of fake exploit stack frames. We then `exec()` a vulnerable application with our exploit payload.

8.2.1. Return-Oriented Program.

We create a return-oriented program by combining gadgets using our exploit language, as shown in Figure 30. Note that all gadget variables are four bytes (and contiguous in order of declaration). The compiler can parse the following exploit language code, generate intermediate variables, and break down longer strings into four-byte chunks for use as gadget variables.

8.2.2. Exploit Payload.

The compiler translates the exploit code into a series of gadget variables, labels, and operations in a C exploit program. This program encodes the instruction sequences of each gadget as a series of fake exploit stack frames in a string buffer. For gadget variable memory locations, we predesignate sufficient stack address space below the first gadget exploit frame. The safe call stack frame is placed in lower memory than the gadget variables. We pack the stack frame payload by encoding the `%i6` and `%i7` values for an instruction sequence in the previous exploit frame, so that the stack pointer and program counter correspond to the correct register state (restored from the stack).
We assemble the exploit payload into an `argv[1]` payload and an `envp[0]` payload, each having no NUL bytes. The `argv[1]` payload overflows the `%i6` and `%i7` save areas in the vulnerable program's `main()` to direct control to gadget exploit stack frame collection in `envp[0]`. Although we use the split payload approach common for proof-of-concept exploits [McDonald 1999; Ivaldi 2007], our techniques equally apply to packing the entire exploit in a single string buffer. For efficiency, we pack each exploit stack frame into 64 bytes, providing just enough room for the save area for the 16 local and input registers.

The C exploit wrapper program passes the exploit `argv` and `envp` string arrays to the vulnerable application via `exec()`. Our example uses 33 gadgets for 88 exploit stack frames total, and the entire exploit payload is 5,572 bytes (with an extra 336 bytes for the initial overflow).

8.3. Results
The exploit wrapper program (exploit) spawns the vulnerable application (from Section 8.1) with our packed exploit payload, overflows the vulnerable buffer in `foo()`, and takes control, counting down and then spawning a shell.

The first version of the payload took over 12 hours to craft by hand (manually researching addresses and packing frames). Using our exploit compiler, we were able to create the same exploit (testing and all) in about 15 minutes.

9. A MORE COMPLEX FRAMEWORK EXPLOIT
The example exploit from Section 8 illustrates the ease with which return-oriented attacks can be created using our framework from Section 7. But automation not only makes simple payloads easy; it makes more complicated payloads possible. To illustrate our framework's capabilities, we present a more sophisticated example exploit. This exploit, which uses dynamic memory allocation, multiply-nested loops, and pointer arithmetic, demonstrates that our SPARC compiler and exploit framework abstraction approaches the C language in expressiveness. We give another exploit example in Section B.5 in the online appendix.

Figure 31 shows an exploit language program (SelectionSort.rc) that creates an array of ten random integers between 0–511, prints the unsorted array, sorts using selection sort, and displays the final, sorted array. The compiler produces a C language file, "SelectionSort.c," which is compiled into the executable, "SelectionSort." When the exploit program is invoked, it overflows the vulnerable program from Figure 29 and displays the output in Figure 32. The exploit payload for the sort program is just over 24 kilobytes, using 48 gadget variables, 152 gadgets, and 381 instruction sequences.

10. CONCLUSION
We have introduced return-oriented programming, a technique by which an attacker who subverts a program's control flow can induce it to take arbitrary computation, without injecting any new code. We have shown that the return-oriented programming problem extends to both the Linux/x86 and Solaris/SPARC platforms; subsequent work has extended return-oriented programming to many additional platforms, buttressing our conjecture that it is a universal issue. Moreover, we have demonstrated that return-oriented exploits are practical to write, as the complexity of gadget combination is abstracted behind a programming language and compiler. Subsequent work has automated gadget generation, as well.

Since return-oriented exploits reuse existing code, they are not affected by an important class of exploitation mitigations in use today—those that distinguish good code from bad. Code signing techniques like Tripwire, Authenticode, Intel's Trusted
Execution Technology, or any “Trusted Computing” technology using cryptographic attestation fall into this class; so do approaches that prevent control-flow diversion outside legitimate regions (such as W⊕X) and most malicious code scanning techniques (such as anti-virus scanners).

A better defensive approach would keep a program’s control flow from being hijacked in the first place. Control-flow integrity systems provably accomplish this at a runtime overhead that is likely acceptable for many applications and ought to see wider adoption.

**ELECTRONIC APPENDIX**

The electronic appendix for this article can be accessed in the ACM Digital Library.

**ACKNOWLEDGMENTS**

We thank Dan Boneh, Eu-Jin Goh, Frans Kaashoek, Nagendra Modadugu, Eric Rescorla, Mike Sawka, and Nick Vossbrink for helpful discussions regarding the x86 aspects of this work; Avram Shacham for his detailed comments on versions of the manuscript; members of the MIT Cryptography and Information Security.
Seminar, Berkeley Systems Lunch, and Stanford Security Lunch for their comments on early presentations; Rick Ord for his helpful discussions and insight regarding SPARC internals; and Bill Young for providing us with a dedicated SPARC workstation.

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Received February 2009; revised April 2011; accepted June 2011

ACM Transactions on Information and System Security, Vol. 15, No. 1, Article 2, Publication date: March 2012.